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Leah Grinnage

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*Leah Grinnage*  
Signature

May 28, 2002  
Date

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#3

Patent

Attorney Docket  
No. 10001-29977

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Kenneth R. Schroll et al. )

Serial No.: 10/083,184 )

Filed: February 26, 2002 )

Examiner: Unknown )

Group Art Unit: 2874 )

For: Optical Fiber U-Turn Apparatus and Method

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Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

This paper is responsive to the Notice To File Missing Parts Of Nonprovisional Application dated March 19, 2002, having a shortened statutory period for response that expired May 19, 2002. Enclosed is a copy of the Notice to File Missing Parts and a signed inventor's Declaration. Also enclosed are Powers of Attorney relating to this application.

A petition for a one-month extension of time to respond to the Notice to File Missing Parts and the required fee are filed herewith.

Please charge the \$130 surcharge to Deposit Account No. 10-0460. A PTO/SB/17 Fee Transmittal is enclosed.

Respectfully submitted,



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Mark P. Vrla  
Registration No. 43,973  
Attorney for Applicant

JENNER & BLOCK, LLC  
One IBM Plaza  
Chicago, IL 60611  
(312) 222-9350

Date: May 28, 2002

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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/083,184	02/26/2002	Kenneth R. Schroll	10001-29977

02574  
JENNER & BLOCK, LLC  
ONE IBM PLAZA  
CHICAGO, IL 60611



CONFIRMATION NO. 5110

FORMALITIES LETTER



\*OC000000007666143\*

Date Mailed: 03/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/11/2002 YPOLITE1 00000087 100460 10083184

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



# **FREE TRANSMITTAL** **for FY 2002**

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT \$ 240.

## Complete if Known

Application Number	10/083,184
Filing Date	February 26, 2002
First Named Inventor	Kenneth R. Schroll
Examiner Name	Unknown
Group Art Unit	2874
Attorney Docket No.	10001-29977

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## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account Number **10-0460**

Deposit  
Account Name **JENNER & BLOCK, LLC**

- ☒ Charge Any Additional Fee Required under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	\$
106 330	206 165	Design filing fee	\$
107 510	207 255	Plant filing fee	\$
108 740	208 370	Reissue filing fee	\$
114 160	214 80	Provisional filing fee	\$
<b>SUBTOTAL</b>			<b>\$</b>

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee From Below	FEE PAID
Independent	=	X	=
Claims	** =	X	=
Multiple Dependent			=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	**Reissue independent claims over original patent	
110 18	210 9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL</b>			<b>\$</b>

\*for number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge-late filing fee or oath	\$ 130
127 50	227 25	Surcharge-late provisional filing fee or cover sheet	\$
139 130	139 130	Non-English specification	\$
147 2,520	147 2,520	For filing request for ex parte reexamination	\$
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	\$
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	\$
115 110	215 55	Extension for reply within 1 <sup>st</sup> month	\$ 110.
116 400	216 200	Extension for reply within 2 <sup>nd</sup> month	\$
117 920	217 460	Extension for reply within 3 <sup>rd</sup> month	\$
118 1,440	218 720	Extension for reply within 4 <sup>th</sup> month	\$
128 1,960	228 980	Extension for reply within 5 <sup>th</sup> month	\$
119 320	219 160	Notice of Appeal	\$
120 320	220 160	Filing a brief in support of appeal	\$
121 280	221 140	Request for oral hearing	\$
138 1,510	138 1,510	Petition to institute public use proceeding	\$
140 110	240 55	Petition to revive - unavoidable	\$
141 1,280	241 640	Petition to revive - unintentional	\$
142 1,280	242 640	Utility issue fee (or reissue)	\$
143 460	243 230	Design issue fee	\$
144 620	244 310	Plant issue fee	\$
122 130	122 130	Petitions to the Commissioner	\$
123 50	123 50	Processing fee under 37 CFR 1.17(q)	\$
126 180	126 180	Submission of Information Disclosure Statement	\$
581 40	581 40	Recording each patent assignment per property (times # properties)	\$
146 740	246 370	Filing submission after final rejection (37 CFR § 1.129(a))	\$
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	\$
179 740	279 370	Request for Continued Examination (RCE)	\$
169 900	169 900	Request for expedited examination of a design application	\$

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 240.

## SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Mark P. Vrla	Registration No.	43,973	Telephone	312-222-9350
Signature		(Attorney/Agent)		Date	May 28, 2002

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization in PTO-2038.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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